

4-23-01

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04/20/01

JC525 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No. 43481.000002
ADDRESS TO: Commissioner of Patents Box Patent Application Washington, DC 20231		First Named Inventor Bahram Mechanic
		Title TRANSIENT VOLTAGE SURGE SUPPRESSOR
		Express Mail No. EL285226365US

JC929 U.S. PTO 09/839331

04/20/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form PTO/SB/17 (submit in duplicate)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages: 9]
4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 1]
5. ☒ Oath or Declaration [Total Pages: 2]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with No. 17 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. CD-ROM or DC-R (2 copies); or
 - ii. Paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☒ 9. ☒ Assignment Papers (cover sheet & document(s))
10. ☒ 37 CFR 3.73(b) Statement
(when there is an assignment)
☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449
☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (Itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other: _____

17. If a CONTINUING APPLICATION, check appropriate blank and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

<input type="checkbox"/> Continuation	of prior application No.: 09/311,240
<input type="checkbox"/> Divisional	Prior application information: Examiner _____ Group/Art Unit _____
<input checked="" type="checkbox"/> Continuation-in-part (CIP)	

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Correspondence Address					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label _____ or _____ Correspondence address below 008176 (Insert Customer No. or Attach bar code label here)					
Name	Albert B. Kimball Jr. BRACEWELL & PATTERSON, L.L.P.				
Address	711 Louisiana, Suite 2900				
City	Houston	State	Texas	Zip Code	77002
Country	U.S.A.	Telephone	(713) 221-1377	Fax	(713) 221-2185

Date: 4/20/01

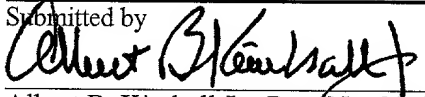
Albert B. Kimball Jr.
 Albert B. Kimball Jr., Reg. No. 25,689

FEE TRANSMITTAL FOR FY 2000		Complete if Known	
		Application Number	
		Filing Date	
		First Named Inventor	Bahram Mechanic
		Examiner Name	
		Group / Art Unit	
Total Amount of Payment	\$ 355.00	Attorney Docket No.	43481.000002

METHOD OF PAYMENT (check one)				
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account No.: <u>50-0259</u> Deposit Account Name: <u>Bracewell & Patterson, L.L.P.</u>				
<input checked="" type="checkbox"/> Charge any additional Fee Required Under 37 CFR §§ 1.16 & 1.17				
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27				
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other				
FEE CALCULATION				
1. Basic Filing Fee				
Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	
\$710	\$355	Utility Filing Fee	\$ 355.00	
\$320	\$160	Design Filing Fee	\$	
\$490	\$245	Plant Filing Fee	\$	
\$710	\$355	Reissue Filing Fee	\$	
\$150	\$ 75	Provisional Filing Fee	\$	
Subtotal (1)			\$355.00	
2. Extra Claim Fees				
Claims		Extra	Fee (below)	Fee Paid
Total	10 - 20** =	0	x \$9	\$ 0
Indep.	2 - 3** =	0	x \$0	\$0
Multiple Dependent				\$ 0
**or number previously paid, if greater. For Reissues, see below				
Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description		
\$ 18	\$ 9	Claims in excess of 20		
\$ 80	\$ 40	Independent claims in excess of 3		
\$ 270	\$ 135	Multiple dependent claim, if not paid		
\$ 80	\$ 40	**Reissue independent claims over original patent		
\$ 18	\$ 9	**Reissue claims in excess of 20 and over original patent.		
Subtotal (2)			\$0	

FEE CALCULATION (continued)			
Large Entity	Small Entity	Fee Description	Fee Paid
\$ 130	\$ 65	Surcharge - late fee or oath	\$
\$ 50	\$ 25	Surcharge - late provisional filing fee or cover sheet	\$
\$ 130	\$ 130	Non-English specification	\$
\$2,520	\$2,520	Request for Reexamination	\$
\$ 920*	\$ 920*	Requesting publication of SIR prior to Examiner action	\$
\$1,840*	\$1,840*	Requesting publication of SIR after Examiner action	\$
\$ 110	\$ 55	Extension for reply within first month	\$
\$ 390	\$ 195	Extension for reply within second month	\$
\$ 890	\$ 445	Extension for reply within third month	\$
\$1,390	\$ 695	Extension for reply within fourth month	\$
\$1,890	\$ 945	Extension for reply within fifth month	\$
\$ 310	\$ 155	Notice of Appeal	\$
\$ 310	\$ 155	Filing a brief in support of an appeal	\$
\$ 270	\$ 135	Request for oral hearing	\$
\$1,510	\$1,510	Petition to institute a public use proceeding	\$
\$ 110	\$ 55	Petition to revive - unavoidable	\$
\$1,240	\$ 620	Petition to revive - unintentional	\$
\$1,240	\$ 620	Utility issue fee (or reissue)	\$
\$ 440	\$ 220	Design issue fee	\$
\$ 600	\$ 300	Plant issue fee	\$
\$ 130	\$ 130	Petitions to the Commissioner	\$
\$ 50	\$ 50	Petitions related to provisional applications	\$
\$ 240	\$ 240	Submission of Information Disclosure Statement	\$
\$ 40	\$ 40	Recording each patent assignment per property (times number of properties)	\$
\$ 710	\$ 355	Filing a submission after final rejection (37CFR§1.129(a))	\$
\$ 710	\$ 355	For each additional invention to be examined (37 CFR § 1.129(b))	\$
\$ 710	\$ 355	Request for Continued Examination (RCE)	\$
\$ 900	\$ 900	Request for expedited examination of a design application	\$
Subtotal (3)			\$ 0

Date: April 20, 2001 Phone: 713 221-1377

Submitted by

 Albert B. Kimball Jr., Reg No. 23,689